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Submittal: BACENWBA/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet -1-

of -14-

Complete if Known

Application Number	09/846,823
Filing Date	April 30, 2001
First Named Inventor	Ted E. Dunning, et al.
Art Unit	3622
Examiner Name	Yehdega Retta
Attorney Docket Number	085804 . 014501

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.†	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		us- 3,568,156	03/02/1971	Kenneth L. Thompson	
		us- 4,384,329	05/17/1983	Walter S. Rosenbaum	
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		us- 5,241,674	08.31.1993	Yoshiaki Kuorsawa	
		us- 5,303,150	04.12.1994	Masayuki Komeda	
		us- 5,405,505	04.04.1995	Frank H. Levinson	
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		us- 5,392,212	02.21.1995	Jon C. Geist	
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		us- 5,497,488	03.05.1996	Mirsuru Akizawa	
		us- 5,499,046	03.12.1996	Jay B. Schiller	
		us- 5,539,635	07.23.1996	Ernest J. Larson, Jr.	
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		us- 5,583,763	12.20.1996	John Atcheson	
		us- 5,592,511	01.07.1997	Neil C. Schoen	
		us- 5,661,787	08.26.1997	Michael H. Pocock	
		us- 5,675,786	10.07.1997	Neil Howard McKed	

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Examiner Initials*	Cite No.†	Foreign Patent Document Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		AU-A-53031/98	08.27.1998	Dudley John Mills		
		EP 0 173 639 A2	03.05.1986	Avrom R. Handleman		
		EP 1 236 354 A0	05.17.2001	Jeffrey Boulter		
		EP 0 847 156 A2	10.06.1998	Robert L. Wolfe		

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/Yehdega Retta/

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10/28/2008

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Art Unit	3622
Examiner Name	Yehdega Retta
Attorney Docket Number	085804 . 014501

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		EP 0 955 592 A2 & A3	11.10.1999	Zhenya Yourio		
		EP 1 010 098 B1	06.18.2003	Dale Tyson Roberts		
		EP 1 324 567 A2 & A3	07.02.2003	Dale Tyson Roberts		
		GB 2 306 869	07.05.1997	Patrik Garten		

Examiner Signature /Yehdega Retta/ Date Considered 10/28/2008

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Application Number	09/846,823
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First Named Inventor	Ted E. Dunning
Art Unit	3622
Examiner Name	Yehdega Retta
Attorney Docket Number	085804 . 014501

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of -14-

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		Number-Kind Code ² (if known)			
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		US-5,913,040	06.15.1999	Yuval Rakavy	
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		JP 2001521642T	11.06.2001	Dale Tyson Roberts		
		WO 97/07467	02.27.1997	Sean Phelan		
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Examiner Signature	/Yehdega Retta/	Date Considered	10/28/2008
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet **-4-**of **-14-****Complete if Known**

Application Number	09/846,823
Filing Date	April 30, 2001
First Named Inventor	Ted E. Dunning, et al.
Art Unit	3622
Examiner Name	Yehdega Retta
Attorney Docket Number	085804 . 014501

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,026,398	04.15.2000	David Brown, et al.	
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Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
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		WO 98/47080 A2	10.22.1998	Dale Tyson Roberts		
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Examiner
Signature

/Yehdega Retta/

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Sheet **-5-** of **-14-**

U. S. PATENT DOCUMENTS

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		US- 6,330,593	12.11.2001	Dale Tyson Roberts	
		US- 6,343,317	01.29.2002	Harry A. Glorikian	
		US- 6,353,849	03.05.2002	Steven J. Linsk	
		US- 6,370,315	04.09.2002	Toshio Mizuno	
		US- 6,389,467	05.14.2002	Aviv Eyal	
		US- 6,487,598	11.26.2002	Andrew J. Valencia	
		US- 6,490,553	12.03.2002	Jean-Manuel Van Thong	
		US- 6,512,763	01.28.2003	Richard Case DeGolia Jr	
		US- 6,535,854	03.18.2003	Peter Buchner	
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		US- 6,560,704	05.06.2003	Jude Gerard Dieterman	
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		US- 6,677,894	01.13.2004	Leonid Sheynblat	
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		US- 2001/0055276	12.27.2001	Shane M. Rogers	

[illegible]

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	09/846,823
Filing Date	April 30, 2001
First Named Inventor	Ted E. Dunning, et al.
Art Unit	3622
Examiner Name	Yehdega Retta
Attorney Docket Number	085804 . 014501

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U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
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		US- 2003/0083871	05.01.2003	Jonathan T. Foote	
		US- 2003/0135513	07.17.2003	Paul Quinn	
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		Country Code ³ "Number" ⁴ "Kind Code" ⁵ (if known)				

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Application Number	09/846.823
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First Named Inventor	Ted E. Dunning, et al.
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Art Unit

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Application Number	09/846,823
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First Named Inventor	Ted E. Dunning, et al.
Art Unit	3622
Examiner Name	Yehdega Retta
Attorney Docket Number	085804 . 014501

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Filing Date	April 30, 2001
First Named Inventor	Ted E. Dunning, et al.
Art Unit	3622
Examiner Name	Yehdega Retta
Attorney Docket Number	085804 . 014501

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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		Carl de Marcken, "The Unsupervised Acquisition of a Lexicon from Continuous Speech," Massachusetts Institute of Technology Artificial Intelligence Laboratory: Center for Biological and Computational Learning, Department of Brain and Cognitive Sciences, A.I. Memo No. 1558 and C.B.C.L. Memo No. 129, November 2001, pp. 1-27	

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Art Unit	3622
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		Internet Papers: Freetantrum: Songprint 1.2, and computer code; www.freetantrum.org ; December 11, 2000; 46 pages	
		Jones, Karen Sparck, Jones, G.J.F., Foote, J.T. and Young, S.J., "Experiments in Spoken Document Retrieval," pp. 493-502	
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		Lisa F. Rau, "Conceptual Information Extraction and Retrieval from Natural Language Input," Artificial Intelligence Program GE Research and Development Center, Schenectady, NY 12301, pp. 527-533	
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